



## Student Enrolment, Progression and Exclusion Procedure

<b>Policy supported</b>	Student Progression and Exclusion Policy
<b>Procedure Code</b>	ACA-HE-07
<b>Procedure owner</b>	Principal Executive Officer
<b>Responsible Officer</b>	Principal Executive Officer
<b>Approving authority</b>	Academic Board
<b>Contact Officer</b>	Registrar
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<b>Review date</b>	3 years
<b>Version</b>	2023.1
<b>Related Documents</b>	Advanced Standing Policy Advanced Standing Procedure National Code of Practice for Providers of Education and Training to Overseas Students 2018 Student Admission Policy Student Admission Procedure Students At Risk and Early Identification Policy Students At Risk and Early Identification Procedure Student Complaint and Appeal Policy Student Complaint and Appeal Procedure International Student Deferment, Suspension and Cancellation of Study Policy International Student Deferment, Suspension and Cancellation of Study Procedure
<b>HESF (Threshold Standards) 2021</b>	1.3.1; 1.3.2; 1.3.3; 1.3.4; 1.3.5; 1.3.6; 7.2.2

### 1. Purpose

This Procedure supports the Student Enrolment, Progression and Exclusion Policy. In conjunction they detail the rules for meeting enrolment and course progression requirements at the Australian Institute of Higher Education Pty Ltd ('the Institute'), outline the process and define the grounds for exclusion due to unsatisfactory course progression.

### 2. Scope

This Procedure applies to all students at the Institute.

Clauses related to course progression apply to students enrolled in award courses only

### 3. Definitions

See the AIH Glossary of Terms for definitions.

### 4. Actions and Responsibilities

## 4.1 Unit Enrolment in Blocks

### 4.1.1 Administration of enrolments

Enrolment in units for all students is administered centrally via the student management system (SMS). The Institute will:

- provide students with the enrolment information and requirements for the relevant Block/s;
- support students to enrol, including advising students in relation to their study plan; and
- monitor and manage classes and timetabling.

### 4.1.2 Students will manage their own enrolment

A student admitted to an award course must enrol in the units that he or she intends to study in accordance with published first and last dates to enrol for the Block/s.

The first day to enrol in each Block is four (4) weeks before the commencement date; and the last day to enrol is the commencement date of the Block. (Refer to Academic Calendar for specific dates).

The selected units must conform to the course rule requirements for the course. Any enrolment outside of the course requirements may only be undertaken as non-award study.

Concurrent enrolment in two or more award courses is permitted subject to the student fulfilling the admission requirements of each course and any restrictions that may be specified in a course rule.

## 4.2 Unit Enrolment Restrictions and Conditions

### 4.2.1 Units with prerequisite knowledge

Where relevant, prerequisites, corequisites, prior assumed knowledge, incompatible (enrolment not permitted) and restricted units (special approval required) will be specified as enrolment requirements of the unit and published on the Institute website.

Prerequisites, concurrent prerequisites and corequisites may be placed on units for one or more of the following reasons:

- to limit the availability of a unit to a specific cohort of students undertaking a designated course or program of study;
- to provide students with an appropriate knowledge and skill base for progression to more complex and advanced units;
- or to comply with an educational or academic requirement approved by the Academic Board or nominee on the recommendation of the Dean.

Unless otherwise specified the minimum grade required to satisfy a prerequisite condition is a Pass (P).

Students will be permitted to enrol in units for which prerequisites apply provided they have met or have the potential to meet the specified prerequisites, i.e., they are enrolled/completed for the required prerequisite(s) pending final unit results.

If a student fails any prerequisite unit, it is their responsibility to check that they still meet the prerequisites for their enrolled units, obtain approval for a prerequisite waiver, or change their enrolment.

The Dean (or their nominee) may waive a prerequisite or corequisite condition on application from a student, provided the student is able to satisfy the requirements of the prerequisite or corequisite through an alternative mechanism (e.g. completion of prior study in a unit assessed as providing equivalent learning outcomes as the designated prerequisite unit).

#### 4.2.1 Other restrictions to enrolment

The enrolment or re-enrolment of a student or former student with a restriction arising from an outstanding debt will be required to settle any monies owing to the Institute before they are permitted to enrol in a unit, and subject to the requirements and conditions specified in the **Refund Policy for International and Domestic Students**.

A student or former student with a restriction preventing them from enrolling or re-enrolling in a course or unit arising from a determination made under the provisions of the **Student Enrolment, Progression and Exclusion Policy** or **Student Code of Conduct** will not be permitted to enrol or re-enrol until any time period associated with the restriction has elapsed, or the matter leading to the restriction is resolved, or the relevant approval authority has authorised the restriction to be lifted.

### 4.3 Progression Rules

#### 4.3.1 Applications for an extension of time

Students who are likely to fail to complete the course within the prescribed period and who can reasonably be expected to meet the course requirements within two additional terms of study may apply for an extension of time. Each application will be considered on its merits and with reference to the student's academic performance to date.

Applications for an extension of time to complete the course must be made in writing to the Dean at least one Semester prior to the expiry of the student's prescribed period of Confirmation of Enrolment (CoE). The application must include reasons and evidence for their inability to complete the qualification in the prescribed time period. The Dean or delegate will provide a written response to the student within 20 working days outlining their decision and informing the student of their right to appeal the decision.

#### 4.3.2 Students who fail to complete the course within the time limit

Students who fail to complete course requirements before the specified time limit (including any extension of CoE) will have their enrolment terminated.

A statement noting that the maximum period of CoE has been exceeded will appear on the final academic transcript issued to the student. The student will be advised in writing of the decision to terminate their enrolment and will also be advised that they have 20 working days from receiving notification of the decision to terminate their enrolment in which to appeal the decision.

## 4.4 Satisfactory Course Progress

### 4.4.1 Recording and assessing course progress

Students will be monitored as outlined in Annexures 1 & 2 of this Procedure.

### 4.4.2 Students deemed “Student At Risk”

All students found to not be making satisfactory course progress as per the **Student Enrolment, Progression and Exclusion Policy** will be placed on Academic Probation in the next enrolled Block and will be expected to take responsibility for improving their academic performance.

The Academic Success Team will notify students in writing (via email or letter) why they have been identified “Student At Risk” (Academic Probation Monitoring, Letter 1, Letter 2 & Letter 3) (see Annexure 1: Flowchart – Student at Risk Process).

The Academic Success Team will monitor students while on Academic Probation. Students must receive a progression grade for 50% or more of units scheduled within four (4) consecutive Blocks or their risk rating will increase.

Students will be contacted as per the schedule in Annexures 1 or 2 of this Procedure. It is the student’s responsibility to ensure they make a genuine effort to improve their results by attending scheduled unit sessions, attempting all scheduled unit assessments and participating in scheduled support workshops. Students can contact the Academic Success Team directly by emailing [academicsuccess@aih.edu.au](mailto:academicsuccess@aih.edu.au) or visiting the Learning Hub in person. Should the student submit a late assignment or fail to attempt an assessment, if eligible they need to apply for special consideration as per the **Student Assessment Policy and Associates Procedure**.

As part of the intervention strategy for students on academic probation, the Academic Success Manager or delegates may recommend that a student study one less scheduled unit when they have been scheduled to attend a support workshop. However, students must maintain a full-time study load. A full-time study load is 80 credit point of study per calendar year. International students who do not satisfy the 8-unit enrolment requirement risk having their CoE cancelled for unsatisfactory course progress.

### 4.4.3 Students who continue to fail to meet academic course progress requirements

In an instance where a student:

- fails to meet minimum academic standards in future Blocks after additional support has been provided, or
- fails to enrol in a study load that will enable them to make sufficient progress, or
- fails to follow the conditions of their academic probation;

the student will be issued with a written notice, Letter 2 sent via email outlining the Institute's intention to exclude / cancel the student from the Institute (see Annexure 1: Flowchart – Student at Risk Process).

Students will be given 20 working days from the issuing date of the notice to appeal the decision in accordance with the Student Complaint and Appeal Procedure. A student who does not submit an appeal within 20 working days will have their enrolment terminated.

Once the appeal has been assessed, the Institute may choose to:

- terminate the student’s enrolment; or

- allow the student to continue without conditions; or
- allow the student to continue the course with specific conditions (see Annexure 1: Flowchart – Student at Risk Process).

A student who is allowed to continue their enrolment in the course with conditions imposed, who again fails to meet the minimum academic standards or breaches the conditions imposed, will have their enrolment terminated (Letter 3).

Other circumstances where students might have their enrolment terminated are outlined in the Institute's **Student Academic Misconduct Policy and associated Procedure**, **Student Code of Conduct** and the **International Student Deferral, Suspension and Cancellation Policy and associated Procedure**.

#### 4.4.4 Consequences of termination of enrolment

A student whose enrolment is terminated due to exceeding the maximum period of CoE, and who still wishes to complete further study, will need to apply to the Institute for admission in line with the **Student Admission Policy**.

A student whose enrolment is terminated due to failure to meet minimum academic standards and who applies for admission to return to study at the Institute in the future may only be admitted by the choice of the Dean.

#### 4.5 Early Identification & Intervention Strategy

Students who may need additional support to succeed may be identified by early intervention. Identification is based on:

- Low-level attendance of classes;
- not submitting an early assessment task;
- not performing adequately in assessment tasks;
- not logging into or not engaging with the Learning Management System (Moodle).

All students identified within the early identification process, are contacted by the Lecturers or the Academic Success Team to offer support. Students will be contacted as per the schedule in Annexure 1 or 2 in the Student Progression and Exclusion procedure. It is the student's responsibility to ensure they participate in any additional support strategies suggested. Students can contact the Academic Success Team directly by emailing [academicsuccess@aih.edu.au](mailto:academicsuccess@aih.edu.au) or visiting the Learning Hub in person.

#### 4.6 Academic Support

All students are offered Academic Support. The Academic Success Team manage the Learning Hub:

##### 4.6.1 Learning Hub

Students can obtain general academic assistance by approaching the Academic Success Team staff at any time during the Learning Hub's opening hours, Monday to Friday from 9am to 5pm or by emailing [academicsuccess@aih.edu.au](mailto:academicsuccess@aih.edu.au).

Students can obtain specific academic assistance face-to-face or online, including in relation to research skills, academic writing, referencing, presentation skills and examination preparation, by contacting the Academic Success Team directly by email.

The Learning Hub has a Drop-in Centre for students to drop in to request help with any Academic Skills, English Proficiency and Numeracy issues.

Students can obtain support videos, quick study tips and useful links through LMS (Moodle).

#### **4.6.2 Academic Literacy and English Language Proficiency**

Academic literacy and English language proficiency are important factors in a student being able to satisfactorily progress through their course. 'Academic Literacy' refers to the capacity of a student to commence formal study and to understand and communicate course-specific knowledge. 'English language proficiency' refers to the student's ability to understand and communicate knowledge effectively in both written and spoken English.

Higher Education Providers are responsible for ensuring their students are sufficiently competent in the English language to participate effectively in their studies.

Any student identified by their lecturer as requiring further development of their academic literacy and English language proficiency skills may be requested to complete an academic skills assessment.

Following the assessment, the Academic Success Manager may put in place an intervention strategy to assist the student to enhance their academic literacy and English language proficiency skills. This may include:

- attending academic skills workshops; and/or
- attending tutorial or study groups; and/or
- receiving one-on-one support and coaching services.
- Students may contact the Academic Success Team for assistance with academic literacy and English language proficiency at any time. Students can expect a response within 2 working days.

#### **4.6.3 Academic Skills Workshops**

Academic Success Team offer various academic skills workshops to all students by face-to-face and online. These workshops cover skills of researching, paraphrasing & referencing, writing assessments, presentation skills and group work.

#### **4.6.4 Peer to Peer Mentoring Program**

Early Intervention Students and those who are identified as "at risk" can be assigned a Peer Mentor for one or more units. The Peer Mentor will be assigned by the Academic Success Team and will meet with the student once per week for a one-hour session, to answer questions related to the unit content. Peer Mentors are subject to availability of volunteers of appropriate academic performance.

#### **4.7 Failing a Prerequisite Unit of Study**

Course progression rules require that a student who has, in a previous Block, failed the prerequisite for entry to a unit of study cannot be enrolled in that unit of study. However, where a student believes that this rule may adversely affect their course progress, they

may seek a review of this rule by writing to the Dean (or delegate).

The Dean (or delegate) will assess the student's academic record and if they believe the student has a fair chance of success, they may allow the student to repeat the prerequisite unit of study at the same time as the unit of study for which it is a prerequisite, or may decide to waive the prerequisite.

#### 4.8 International Students

Where the Registrar (or delegate) has assessed an international student as not achieving satisfactory course progress as detailed in this Procedure, the Registrar (or delegate) will notify the student via email of:

- the Registrar's intention to report them to the Department of Home Affairs through PRISMS;
- the reasons for the Registrar's intention to report them to the Department of Home Affairs; and
- the student's right to appeal the decision under the Institute's **Student Complaint and Appeal Policy and associated Procedure** within 20 working days.

The Institute will only report a student to the Department of Home Affairs for unsatisfactory course progress in PRISMS (in accordance with section 19(2) of the ESOS Act) if:

- the appeals process under the Institute's **Student Complaint and Appeal Policy and associated Procedure** has been completed and the decision or recommendation supports the Institute's decision to report the student to the Department of Home Affairs for unsatisfactory course progress; or
- the student has chosen not to access the appeal process under the Institute's **Student Complaint and Appeal Policy and associated Procedure** within 20 working days of receiving the Institute's decision; or
- the student has chosen not to access the external appeals process after completion of the internal appeals process under the Institute's **Student Complaint and Appeal Policy and associated Procedure** has been completed; or
- the student has withdrawn from the appeals process under the Institute's **Student Complaint and Appeal Policy and associated Procedure** and has notified the Institute of that withdrawal in writing.

During the 20 working days for lodging an appeal and then the appeal being considered, the student has a right to continue their studies in the course.

If the student is reported to the Department of Home Affairs via PRISMS, the Institute will provide a copy of the Section 20 notice generated by PRISMS to the student. A student who has their enrolment terminated should contact the Department of Home Affairs to discuss the impact on their visa.

#### 4.9 Extension of Confirmation of Enrolment (CoE)

An extension of the student's CoE may only be granted where it is clear that the student will not complete the course within the expected duration, as specified on the CoE, if:

- there are compassionate, compelling or extenuating circumstances as defined in the Institute's **International Student Deferment, Suspension and Cancellation of Study Policy**;
- not completing as a result of a revised enrolment pattern due to the implementation of an Institute intervention strategy for a student who was “at risk” of not making satisfactory academic progress in the course; or
- an approved deferment or suspension of study has been granted under the Institute's **International Student Deferment, Suspension and Cancellation of Study Policy and associated Procedure**.

If an extension to the student's CoE is granted, the Institute will advise the student in writing to contact the Department of Home Affairs to seek advice on any potential impacts on their visa, including the need to obtain a new visa.

Should the variation affect the student’s duration on their CoE, a new CoE will be issued via PRISMS and this will be recorded as such.

## 4.10 Overloading on Units and Cross-Institutional Study

### 4.10.1 Undertaking more than a full-time load in a Block

Students who wish to take more than a full-time load in a Block need to obtain at least a ‘Credit’ average for units completed in previous Blocks. A full-time load is one (1) unit in a compulsory Block.

Students who have failed units previously will not be eligible to study more than a full-time load.

Exceptions to the above may be made by the Dean (or delegate). This may include a situation where a student requires more than a full-time load in their final Block in order to graduate and the student can demonstrate their academic performance has improved as their studies have progressed. The Dean (or delegate) will consider the student’s past academic record to determine if the student can academically cope with an increased study load. Students may request this via the **Rule Waiver Form** available on the Institute’s website.

### 4.10.2 Cross-institutional study

Cross-institutional study is where a student completes units of study at another registered higher education institution, and receives advanced standing for those units at the Institute. A student may only commence cross-institutional study with the prior written consent of the Dean (or delegate). Students may apply in writing by submitting the **Application for Cross-institutional Study Form**. A Student that has failed a unit twice will need to apply for permission to study the unit at the Institute a third time and may be directed to complete the unit via cross-institutional study at an approved provider.

The Dean (or delegate) will deny the request for cross-institutional study if:

- the unit is offered during the Block when the unit is required;
- the unit is not of relevance and benefit to the student’s course;



- the student needs to graduate but the need for cross-institutional study arose due to a failure in the unit, and the unit will be offered in the next Block;
- there is no substantial equivalence as outlined in the Institute’s **Advanced Standing Policy**; or the student has already been granted the maximum amount of advanced standing as outlined in the Institute’s **Advanced Standing Policy**.

#### 4.11 Appeals

An applicant may appeal against a decision made under this Procedure. Appeals must be made as prescribed in the appeals process outlined in the **Student Complaint and Appeal Policy and associated Procedure**. Students may request this via email to Student Services using the **Complaint and Appeal Form**.

## 5 Version Control

This Procedure has been endorsed by the Australia Institute of Higher Education Academic Board as at November 2023 and is reviewed every 3 years. The Procedure is published and available on the Australian Institute of Higher Education website <http://www.aih.edu.au/> under ‘Policies and Procedures’.

<b>Change and Version Control</b>				
<b>Version</b>	<b>Authored by</b>	<b>Brief Description of the changes</b>	<b>Date Approved:</b>	<b>Effective Date:</b>
2016-2	Registrar	Updated template.	14 July 2016	15 July 2016
2017-1	Ms. McCoy	Restructured document; added table at beginning	22 February 2017	6 March 2017
2017-2	Registrar	Updated to reflect change in the National Code.	15 December 2017	16 December 2017
2018.1	Registrar	Updated requirements and process for students deemed “at risk”.	19 February 2018	19 February 2018
2019.1	Chief Executive Officer	Update the Title of Policy Owner and Responsible Officer to Chief Executive Officer, and Registrar to Head of Academic Support and Student Services. Section 4.1, 4.2, and 4.3 are updated for clarification.	18 November 2019	19 November 2019
2020.1	Chief Executive Officer	Minor format changes	24 April 2020	24 April 2020
2020.2	Academic Success Manager/Chief Executive Officer	Updated requirements and process for students deemed “at risk”, added Early Intervention process and Academic Support, formatting and proofed for student comprehension.	24 September 2020	24 September 2020
2021.1	Registrar	Updated procedure owner and responsible officer to CEO/Principal	14 April 2021	15 April 2021
2022.1	Registrar	Updated to reflect change in processes and Block Model delivery. Updated Higher Education Standards Framework [Threshold Standard] 2021	25 May 2022	26 May 2022
2023.1	Principal Executive Officer	Updated to include scope for enrolment in each Study Period.	29 November 2023	30 November 2023



**Annexure 1a: Flowchart – Student at Risk Process (Student Copy)**



## SAR 2

1. Academic Services Team releases grades for previous Study Period.

2. Student is identified as a SAR 2 student

3. Academic Success Team provides student with Academic Probation Letter 2.

4. SAR Level 2 student submits a Complaint Form with supporting evidence within 20 working days of the SAR Letter 2 issue date.

5a. If complaint is upheld = Student is notified in writing within 20 working days with any conditions associated with the outcome.  
5b. If complaint is declined, student is notified in writing within 20 working days of the outcome and their right to access the appeal process.

6. Student to meet certain conditions, including attending a weekly 'Academic Skills' workshop in order to improve academic performance in the next Study Period.

7a. If more than 50% passing marks = Student's SAR level will be decreased by one level in the next Study Period with active unit enrolments.  
7b. If 50% failing marks or more = Student's SAR level will be increased by one level in the next Study Period with active unit enrolments.

## SAR 3

1. Academic Services Team releases grades for previous Study Period.

2. Student is identified as a SAR 3 student

3. Academic Success Team provides student with a Notice of Intention to Report Letter.

4. SAR Level 3 student submits a Complaint Form with supporting evidence within 20 working days of the SAR Letter 3 issue date.

5a. If complaint is upheld = Student is notified in writing within 20 working days with any conditions associated with the outcome.  
5b. If complaint is declined, student is notified in writing within 20 working days of the outcome and their right to access the appeal process.

6. Student to meet certain conditions, including attending a weekly 'Academic Skills' workshop in order to improve academic performance in the next Study Period.

7a. If more than 50% passing marks = Student's SAR Level will be decreased by one level in the next Study Period with active unit enrolments.  
7b. If 50% failing marks or more = Student's SAR Level will be increased by one level in the next Study Period with active unit enrolments.

Note: If SAR Level 2/Level 3 student takes no action within 20 working days of the SAR Letter 2/Letter 3 issue date, student will be notified in writing and will be **excluded** from studying at AIH.

**Annexure 2a: Flowchart - Early Intervention Process (Student Copy)**

**All Students – Block Delivery and 12 -Week Delivery**

Students are enrolled in their respective units.

**Session 3 (Block Delivery) or Week 6 (12-week delivery)**

- Lecturers submit an Early Intervention report to Academic Success Team after this period based on poor attendance, lack of engagement, among others.
- Lecturers contact students to offer help and support.
- Students make a genuine effort to improve attendance and performance and seek help from Academic Success Team if needed.

**Session 6 (Block Delivery) or Week 5 (12-week delivery)**

- Lecturers submit an Early Intervention report to Academic Success Team after this period based on poor attendance, lack of engagement, non-submission of assessments, among others.
- Academic Success Team contacts students to offer help and support. This can include Peer Mentor Program, Assessment Help, contacting lecturers, etc.
- Students make a genuine effort to improve attendance and performance and seek help from Academic Success Team as needed.

**Session 9 (Block Delivery) or Week 8 (12-week delivery)**

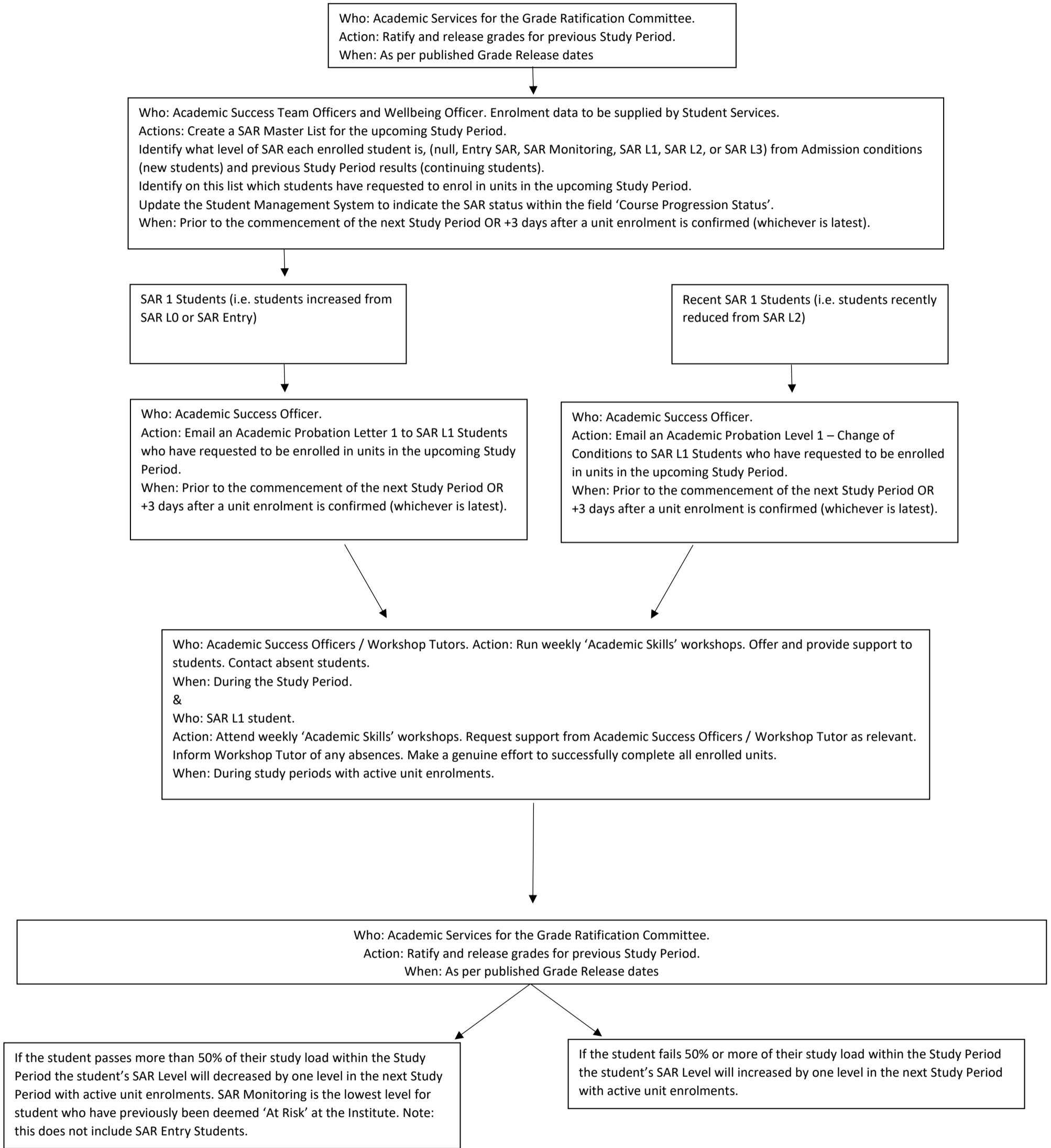
- Lecturers submit an Early Intervention report to Academic Success Team after this period based on poor attendance, lack of engagement, non-submission of assessments, among others.
- Academic Success Team contacts students to offer help and support. This can include Peer Mentor Program, Assessment Help, contacting lecturers, etc.
- Students make a genuine effort to improve attendance and performance and seek help from Academic Success Team as needed.

Academic Success Team provides a summarised report to the Academic Success Manager / Dean.



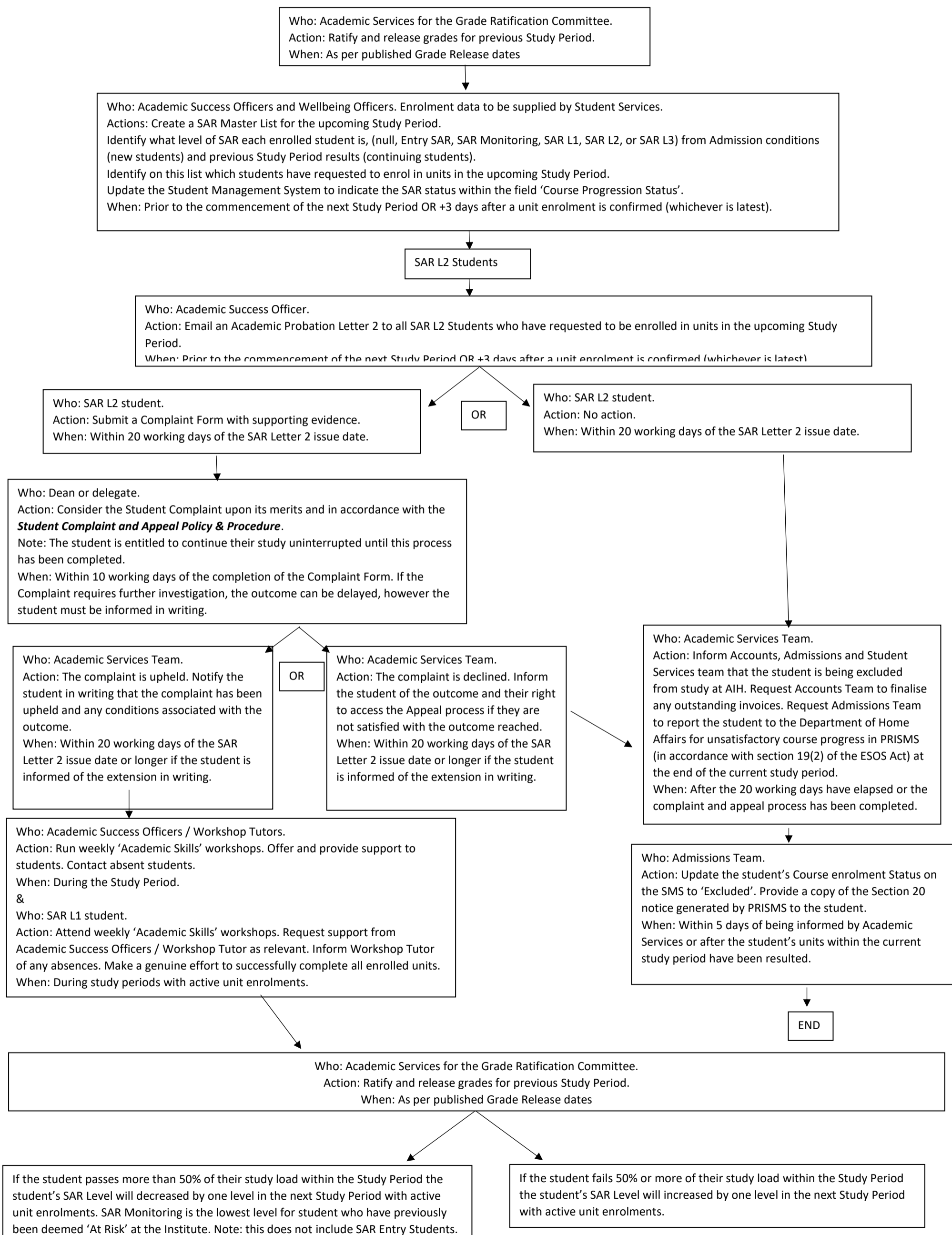
**Annexure 1b: Flowchart – Student at Risk Process (Staff Reference Copy)**

**SAR Level 1 Students**



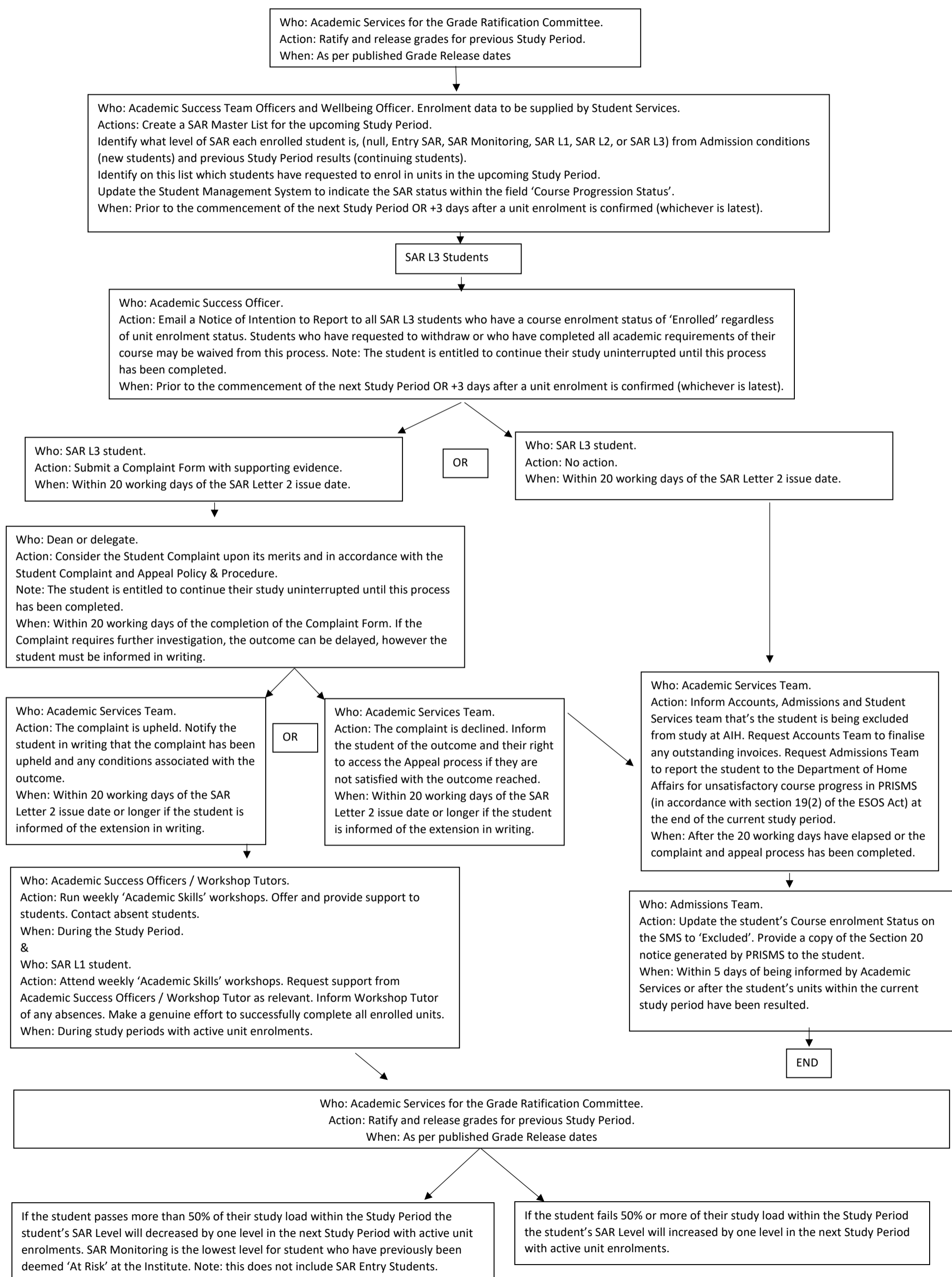
**Annexure 1b: Flowchart – Student at Risk Process (cont.) (Staff Reference Copy)**

**SAR Level 2 Students**



**Annexure 1b: Flowchart – Student at Risk Process (cont.) (Staff Reference Copy)**

**SAR Level 3 Students**





**Annexure 1b: Flowchart – Student at Risk Process (cont.) (Staff Reference Copy)**

**All Students**

